

TRANSMITTAL LETTER TO THE UNITED STATES DESIG- NATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.53) 10/533372
INTERNATIONAL APPLICATION NO. PCT/US2003/034607	INTERNATIONAL FILING DATE October 30, 2003	PRIORITY DATE CLAIMED October 30, 2002
TITLE OF INVENTION WAVELENGTH INSENSITIVE INTEGRATED OPTIC POLARIZATION SPLITTER		
APPLICANT(S) FOR DO/EO/US WATTS, Michael R.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). (Not applicable – application was written and filed in English). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(C)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 To 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, including Form PTO-1449 and copies of references B1-B4 and C1-C2. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment (6 pgs) 14. <input checked="" type="checkbox"/> <small>Repln. Ref: 07/25/2005 PROOKER 0016111400 DAH: 071700 Name/Number: 10533372 FC: 9204 \$300.00 CR</small> 15. <input type="checkbox"/> A substitute specification. <small>Repln. Ref: 07/22/2005 PROOKER 0013351000 DAH: 071700 Name/Number: 10533372 FC: 9204 \$100.00 CR</small> 16. <input checked="" type="checkbox"/> A Copy of Revocation of Prior Powers and Power of Attorney By Applicant; and change of address letter, as filed on 04/08/05. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 18. <input checked="" type="checkbox"/> A copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translated of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: 		
Return receipt postcard		

10/533372

JC20 Rec'd PCT/PTO 29 APR 2005

Application No. Not yet assigned

Page 2 of 2

21. The following fees are submitted:

- ☒ a) Basic national fee..... \$300.00
- ☒ b) Examination fee..... \$200.00
- ☒ c) Search fee..... \$500.00
- ☐ d) Size fee (for each additional 50 sheets over 100 sheets)..... \$250.00

CALCULATIONS PTO USE ONLY

ENTER APPROPRIATE FEE AMOUNT =

\$ 1000.00

Surcharge of \$130.00 for furnishing the oath or declaration of later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	X \$50.00	\$ 0.00	
Independent claims	3 - 3 =	0	X \$200.00	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 1000.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 1000.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$ 1000.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ 0.00
TOTAL FEES ENCLOSED =				\$ 1000.00	
				Amount to be:	\$
				refunded	
				charged	\$

- a. ☒ A check in the amount of \$1000.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 07-1700.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Repln. Ref: 07/25/2005 PBOOKER 0016111400
 Patent Administrator Name/Number: 10533372
 Goodwin Procter LLP \$300.00 CR
 Exchange Place
 Boston, MA 02109
 Customer No. 051414



SIGNATURE

Natasha C. Us
 NAME

44,381

REGISTRATION NUMBER

Repln. Ref: 07/22/2005 PBOOKER 0013351000
 DA# 071700 Name/Number: 10533372
 FC: 9204 \$100.00 CR

4-29-2005
 DATE